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## FAX TRANSMITTAL COVER SHEET

TO: U. S. Patent and Trademark Office  
Technology Center 1600  
Attn: Examiner, Jeffrey E. Russel  
Art Unit: 1654

FAX NO.: (703) 872-9307 After Final

DATE: January 20, 2004

FROM: Carol G. Mintz

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CLIENT NO.: 1536-01700

TOTAL NUMBER OF PAGES (INCLUDING THIS ONE) 27

COMMENTS: Re: U.S. Patent Application No. 10/027,669  
Filing Date: December 21, 2001  
Applicant(s): Rama Akella and John P. Ranieri

The following documents are attached for filing:

- Transmittal Form (PTO/SB/021) (1 p.)
- Fee Transmittal Form (PTO/SB/017) (1 p.)
- Amendment and Response to Final Office Action of November 19, 2003 (12 p.)
- Declaration of Richard J. May and attached Confidentiality Agreement (6 p.)
- Declaration of Rama Akella and attached Confidentiality Agreement (6 p.)

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being sent by facsimile transmission on the date shown below to the facsimile number above to the U.S. Patent and Trademark Office, in accordance with 37 CFR § 1.6(d).

Frances Hampton  
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PTO/SI/017 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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# FEE TRANSMITTAL

## For FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **\$516.00**
**METHOD OF PAYMENT (Check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
 Deposit Account Number: 03-2769  
 Deposit Account Name: Conley Rose, P.C.

The Director is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account  
☒ Credit any overpayments

**FEE CALCULATION**
**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Code (\$)	Fee	Code (\$)		
1001	770	2001	385	Utility filing fee	\$
1002	340	2002	170	Design filing fee	\$
1003	530	2003	265	Plant filing fee	\$
1004	770	2004	385	Reissue filing fee	\$
1005	160	2005	80	Provisional filing fee	\$

**SUBTOTAL (1)** \$

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	12	6	x	18.00	-	\$ 0.00
Multiple Dependent				86.00	-	\$516.00
				290.00	-	\$ 0.00

Large Entity		Small Entity		Fee Description
Fee	Code (\$)	Fee	Code (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent Claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$516.00

\*\* or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY**

Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone	
Carol G. Mintz		38,561		(713) 238-8000	
Signature		Date			
<i>Carol G. Mintz</i>		January 20, 2004			

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

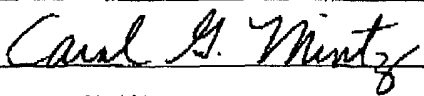
PTO/SB/21 (08-03)


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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/027,669
		Filing Date	December 21, 2001
		First Named Inventor	Rama Akella
		Art Unit	1654
		Examiner Name	Jeffrey E. Russel
Total Number of Pages in This Submission	*	Attorney Docket Number	SBI-111 (1536-01700)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input checked="" type="checkbox"/> Declaration(s) - Richard J. May (6 p.) - Rama Akella (6 p.) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please              identify below):</i> • Confidentiality Agreement attached to Declaration of Richard J. May (4 p.) • Confidentiality Agreement attached to Declaration of Rama Akella (4 p.)
		Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Carol G. Mintz, PTO Reg. No. 38,561
Signature	
Date	January 20, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop A-F, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name	Frances Hampton	Date	January 20, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated (take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/027,669  
Applicants : Rama Akella and John P. Ranieri  
Filed : December 21, 2001  
For : Povidone-Containing Carriers for Polypeptide Growth Factors

Confirmation No. 1708

TC/A.U. : 1654  
Examiner : Jeffrey E. Russel

OFFICIAL

Atty. Dkt. No.: SBI-111  
Date: January 20, 2004

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT  
AND RESPONSE TO FINAL OFFICE ACTION OF NOVEMBER 19, 2003**

Sir:

In response to the final Office Action of November 19, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.